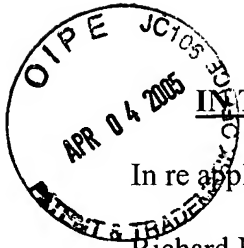


IFW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE {PRIVATE}

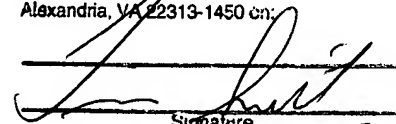
In re application of : Docket No.: OT-4416A
Richard Ericson et al. : Date: March 31, 2005
Appln. No.: 10/684,171 : Group Art: 3652
Filing Date: October 10, 2003 : Examiner: Not Yet Assigned

Title: TRACTION ENHANCED CONTROLLED PRESSURE FLEXIBLE FLAT TENSION
MEMBER TERMINATION DEVICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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LETTER



Signature

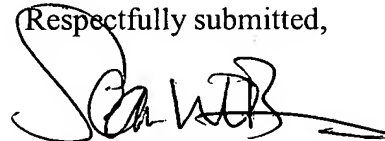
Date 6-1-05

In parent application 09/218,989, Applicants successfully petitioned under 37 CFR 1.48(a) to correct the original naming of inventor(s), in which inventor Dennis J. Rehmer had been omitted. However, in filing the subject divisional application, Applicants inadvertently submitted a copy of the original executed declaration from the parent case rather than the executed declaration from parent application naming the correct inventive entity.

Enclosed is a copy of the executed declaration from parent application 09/218,989 naming the correct inventive entity for the subject application. Also enclosed are copies of the petition under Rule 1.48(a) from parent application 09/218,989, the accompanying papers, and the decision thereon.

Applicants request issuance of a corrected Filing Receipt reflecting the correct inventive entity in the subject application.

Respectfully submitted,



Sean W. O'Brien
Registration No. 37,689

Otis Elevator Company
10 Farm Springs
Farmington, CT 06032
(860) 676-5760

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Richard Ericson et al.

Appln. No.: 09/218,989

Filing Date: December 22, 1998

Docket No.: OT-4416

Date: July 16, 2003

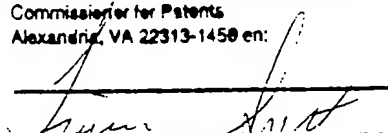
Group Art: 3652

Examiner: Thuy V. Tran

Title: TRACTION ENHANCED CONTROLLED PRESSURE FLEXIBLE FLAT TENSION
MEMBER TERMINATION DEVICE

Commissioner for Patents
Mail Stop: ISSUE FEE
P.O. Box 1450
Alexandria, VA 22313-1450

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Alexandria, VA 22313-1450 en:


Signature
Date 7-17-03

Sir:

AMENDMENT UNDER 37 CFR 1.312, PETITION AND FEE TO CORRECT
AND/OR ADD TO ORIGINALLY NAMED INVENTOR(S)
(37 CFR 1.48(a) AND/OR (c))

This amendment and petition is to correct the original naming of inventor(s) under 37 CFR 1.48(a). This amendment is being filed before the payment of the issue fee, which is due September 17, 2003.

Please add the following previously unnamed person as inventor to this application:

Dennis J. Rehmer

The following documents are attached:

- a) Statement of Facts executed by Dennis J. Rehmer;
- b) Declaration executed by each of the actual inventors;
- c) Assent of Assignee to Correction And/or Addition of Inventor;
- d) Assignment from Dennis J. Rehmer

OTIS - in DEP.

Today's Date: 7/28/03

Action: Amendment

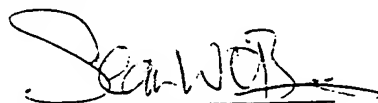
Due Date: —

Attorney: swa

The change in inventorship does not affect the scope of any claim, nor does it add any claims. No additional search or materially added work on the part of the Office is believed to be necessitated.

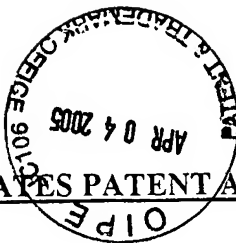
Please charge the \$130.00 fee for this Petition to our credit card, authorization form enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Sean W. O'Brien", written over a horizontal line.

Sean W. O'Brien
Registration No. 37,689

OTIS ELEVATOR COMPANY
10 Farm Springs
Farmington, CT 06032
(860) 676-5760



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No.: OT-4416

Richard Ericson et al.

Appln. No.: 09/218,989

Group Art: 3652

Filing Date: December 22, 1998

Examiner: Thuy V. Tran

Title: TRACTION ENHANCED CONTROLLED PRESSURE FLEXIBLE FLAT TENSION
MEMBER TERMINATION DEVICE

Commissioner for Patents
Mail Stop: ISSUE FEE
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT OF FACTS

Subsequent to the filing of Application No. 09/218,989, entitled "Traction Enhanced Controlled Pressure Flexible Flat Tension Member Termination Device," filed on December 22, 1998, a review was conducted of applications and invention disclosures relating to termination devices and the like, it was determined that the undersigned, Dennis J. Rehmer, contributed to the invention claimed in the subject application.

The omission of the undersigned as a named inventor was without deceptive intent. The omission apparently resulted from the submission of multiple invention disclosures, some of which did not identify the undersigned as an inventor, relating to termination devices.

Dennis J. Rehmer
Dennis J. Rehmer

7/14/03
Date

COPY

PTO/SB/01 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		Attorney Docket Number OT-4416
		First Named Inventor Richard J. Ericson
COMPLETE IF KNOWN		
<input type="checkbox"/> Declaration Submitted with Initial Filing		Application Number 09 / 218,989
<input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (37 CFR 1.16 (e) required)		Filing Date December 22, 1998
		Art Unit 3652
		Examiner Name Thuy V. Tran

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TRACTION ENHANCED CONTROLLED PRESSURE FLEXIBLE FLAT TENSION MEMBER
TERMINATION DEVICE

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) December 22, 1998 as United States Application Number or PCT International

Application Number 09/218,989 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☒ Customer Number or ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle (if any)) Richard J.Family Name
or Surname EricsonInventor's
Signature*Richard J. Ericson*

Date

July 11, 2003

Residence: City Southington

State CT

Country U.S.A.

Citizenship U.S.A.

Mailing Address 71 Hunting Hill

City Southington

State CT

ZIP 06489

Country U.S.A.

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle (if any)) Hugh J.Family Name
or Surname O'DonnellInventor's
Signature*Hugh J. O'Donnell*

Date

11 July 03

Residence: City Longmeadow

State MA

Country U.S.A.

Citizenship U.S.A.

Mailing Address 289 Converse Street

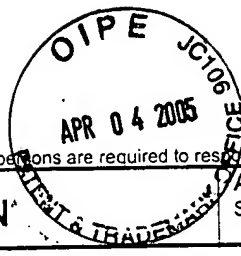
City Longmeadow

State MA

ZIP 01106

Country U.S.A.

Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



DECLARATION

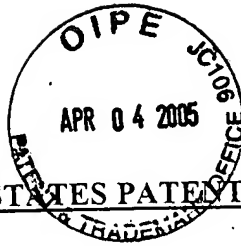
ADDITIONAL INVENTOR(S)
Supplemental Sheet

Page 1 of 1

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Ary O.		Mello	
Inventor's Signature <i>Ary O Mello</i>		Date 7/11/03	
Residence: City Farmington	State CT	Country USA	Citizenship USA
Mailing Address 6 Penfield Place			
Mailing Address			
City Farmington	State CT	Zip 06032	Country USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Dale R.		Barrett	
Inventor's Signature <i>Dale R. Barrett</i>			
Residence: City Berlin	State CT	Country USA	Citizenship USA
Mailing Address 185 Robindale Drive			
Mailing Address			
City Berlin	State CT	Zip 06037	Country USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Dennis J.		Rehmer	
Inventor's Signature <i>Dennis J Rehmer</i>		Date 7/11/03	
Residence: City Bristol	State CT	Country USA	USA Citizenship
Mailing Address 155 Redstone Hill Road, #72			
Mailing Address			
City Bristol	State CT	Zip 06010	Country USA

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

: Docket No.: OT-4416

Richard Ericson et al.

: Date: July 16, 2003

Appln. No.: 09/218,989

: Group Art: 3652

Filing Date: December 22, 1998

: Examiner: Thuy V. Tran

Title: TRACTION ENHANCED CONTROLLED PRESSURE FLEXIBLE FLAT TENSION
MEMBER TERMINATION DEVICE

Commissioner for Patents
Mail Stop: ISSUE FEE
P.O. Box 1450
Alexandria, VA 22313-1450

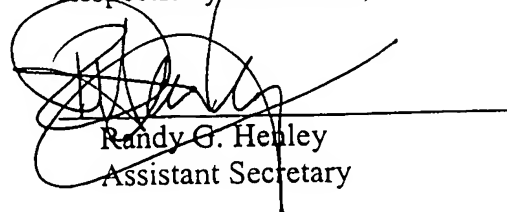
Sir:

ASSENT OF ASSIGNEE TO CORRECTION AND/OR
ADDITION TO ORIGINAL NAMED INVENTORS

OTIS ELEVATOR COMPANY
10 Farm Springs
Farmington, Connecticut 06032,
A corporation of the State of New Jersey (assignee)

Assignment was recorded on June 2, 1999 at Reel 009985; Frame 0984
Recorded herewith a separate Assignment and Assignment Cover Sheet is attached.
Assignee hereby assents to the correction of inventorship filed herewith.

Respectfully Submitted,


Randy G. Henley
Assistant Secretary

OTIS ELEVATOR COMPANY
10 Farm Springs
Farmington, Connecticut 06032
(860) 676-5760

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Dennis J. Rehmer

COPY

Additional name(s) of conveying party(ies) attached? ☒ yes ☐ no

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ OtherExecution Date: July 11, 2003

2. Name and address of receiving party(ies):

Otis Elevator Company

Internal Address: _____

Street Address: 10 Farm SpringsCity: Farmington State: CT Zip: 06032Additional name(s) and addresses(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/218,989

B. Patent No.(s)

Additional numbers attached? ☐ yes ☒ no

5. Name and Address of party to whom correspondence concerning document should be mailed:

Name: Sean W. O'Brien6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00Internal Address: Otis Elevator Company

(OT-4416)

Street Address: 10 Farm SpringsCity: Farmington State: CT Zip: 06032☐ Enclosed☐ Authorized to be charged to deposit account☒ Credit Card Form

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sean W. O'Brien

Name of Person Signing

Sean W. O'Brien

Signature

July 17, 2003

Date

Total number of pages including cover sheet, attachments, and document: 2

COPY

ASSIGNMENT

WHEREAS, I, Dennis J. Rehmer of 155 Redstone Hill Road, #72, Bristol, Connecticut 06010, along with Richard J. Ericson, Hugh J. O'Donnell, Ary O. Mello and Dale R. Barrett, have invented certain improvements in TRACTION ENHANCED CONTROLLED PRESSURE FLEXIBLE FLAT TENSION MEMBER TERMINATION DEVICE, identified as Attorney Docket No. OT-4416, and described in a patent application which was filed as U.S. Application No. 09/218,989 on December 22, 1998, being owner of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, OTIS ELEVATOR COMPANY, of Farmington, Connecticut, a corporation of the State of New Jersey (assignee) is desirous of acquiring the entire United States right, title and interest in and to said invention or inventions and any and all U.S. Patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid U.S. Patent application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has hereunto set his hand and seal on the date after his signature.

Dennis J. Rehmer
Dennis J. Rehmer

Date: 7/11/03

Richard J. Ericson
Witness

Date: 7/11/03